|  |
| --- |
| **Texas SET Change Control Request Form**  (**To be completed only by Texas SET**)  **Change Control Number: 2026-856**  **Implementation Version: Future** |

**This Section Is Completed by Submitter of Change Control Request Only:**

|  |  |  |
| --- | --- | --- |
| **Submitter Name:**  Kathryn Thurman | **Submitting Company Name:**  ERCOT | **Phone Number:**  512-248-6747 |
| **Date of Submission:**  01/05/2026 | **Affected TX SET Transaction(s):**  814\_09 and 814\_13 | **Submitter’s E-Mail Address:**  Kathryn.Thurman@ercot.com |
| **Texas SET Issue cross-reference number:**  None | Protocol Impact (Y/N):  N |
| **Detailed Description and Reason for Proposed Change(s):**  Update the 814\_09 and 814\_13 Guides to remove the ZIP reject code. ERCOT does not do this validation and per the Guides, the TDSP is not allowed to. | | |
| **NOTE:** Requester must complete above fields and include a redlined example of modifications to each impacted implementation guide. This must be included at the time the request form is submitted.  **Please submit this completed form via e-mail to**[txsetchangecontrol@ercot.com](mailto:txsetchangecontrol@ercot.com)***.*** | | |

**This Section Is Used to Request a Revision of an Approved Change Control Only:**

|  |  |  |
| --- | --- | --- |
| **Revisers Name:** | **Revisers Company Name:** | **Phone Number:** |
| **Revision Date Submission:** | **Revisers Email Address:** | **Revision Status & Date:** |
| **Detailed Description and Reason for Revision:** | | |

**For ERCOT Change Control Manager Use Only:**

|  |  |  |  |
| --- | --- | --- | --- |
| **Status:**  Recommendation for Approval | | **Date of TX SET Decision:**  1/20/2026 | |
| **TX SET Discussion/Summary and Resolution**:  Recommend RMS Approve for a Future Texas SET release. | | | |
| **RMS Decision:** | **Emergency (Y/N):** | | **Date of RMS Decision:** |
| **Summary of RMS Discussion:** | | | |

814\_09 Guide:

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent, by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 017 |  | Service Terminated because Service Provider went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market- For ERCOT use only | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service Already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only | | | |
|  | | | | A79 |  | Item or Service Not Established | | | | |
|  | | | | | | The reference number (BGN06) does not match a previous reference number. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | ACR |  | Acquisition Cancel Rejected | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is using the Acquisition Transfer process. For ERCOT use only. | | | |
|  | | | | API |  | Required information missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | CW1 |  | Cannot Cancel on the Day of Scheduled Meter Read Date or in the past. | | | | |
|  | | | | | | ERCOT and TDSPs will reject any 814\_08 transaction received on the scheduled move in, move out or switch date, as well as cancellation requests on orders that are scheduled in the past. | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DCR |  | Duplicate Cancel Reason | | | | |
|  | | | | | | Cancellation reason already received and pending for open business process. For ERCOT Use Only. | | | |
|  | | | | DIV |  | Date Invalid | | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT Use Only. | | | |
|  | | | | INF |  | Invalid Status Code | | | | |
|  | | | | | | Status Reason (REF~1P) provided on the 814\_08 from the CR is not a valid CR cancellation reason. For ERCOT use only | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NOR |  | Not Initiator of Enrollment Request | | | | |
|  | | | | | | Used when the CR submitting the cancellation did not submit the original switch. | | | |
|  | | | | SOP |  | Subsequent Order Processed | | | | |
|  | | | | | | Rejected Due to Competing Transaction Already Pending, Scheduled or Completed. | | | |
|  | | | |  |  |  | | | | |
|  | | | | | |  | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13" and "API" require a text explanation in this element. | | | | | | | |

814\_13 Guide:

**Segment: REF Reference Identification (Rejection Reason)**

**Position:** 030

**Loop:** LIN Optional

**Level:** Detail

**Usage:** Optional

**Max Use:** >1

**Purpose:** To specify identifying information

**Syntax Notes:** **1** At least one of REF02 or REF03 is required.

**2** If either C04003 or C04004 is present, then the other is required.

**3** If either C04005 or C04006 is present, then the other is required.

**Semantic Notes:** **1** REF04 contains data relating to the value cited in REF02.

**Comments:**

|  |  |  |
| --- | --- | --- |
| **Notes:** |  | More than one rejection reason code may be sent, by repeating the REF~7G segment.  Accept Response: Not Used  Reject Response: Required |
|  |  | REF~7G~A13~ADDITIONAL REASON TEXT HERE |

**Data Element Summary**

**Ref. Data**

**Des. Element Name Attributes**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Must Use** | **REF01** | **128** | **Reference Identification Qualifier** | | | | **M** |  | **ID 2/3** | | |
|  | | | Code qualifying the Reference Identification | | | | | | | |
|  | | | | 7G |  | Data Quality Reject Reason | | | | |
|  | | | | | | Reject reasons associated with a reject status notification. | | | |
| **Must Use** | **REF02** | **127** | **Reference Identification** | | | | **X** |  | **AN 1/30** | | |
|  | | | Reference information as defined for a particular Transaction Set or as specified by the Reference Identification Qualifier | | | | | | | |
|  | | | | 008 |  | ESI ID exists but is not active | | | | |
|  | | | | 017 |  | Service Terminated Because the Service Provider Went Out of Business | | | | |
|  | | | | | | Received initiating TX SET transaction from CR that is exiting the Market. For ERCOT use only. | | | |
|  | | | | 090 |  | Greater than 90 in the future | | | | |
|  | | | | | | Transaction requested a date greater than 90 days in the future. | | | |
|  | | | | 270 |  | Greater than 270 in the past | | | | |
|  | | | | | | Transaction requested a date greater than 270 days in the past. | | | |
|  | | | | A13 |  | Other | | | | |
|  | | | | | | Explanation Required in REF03. | | | |
|  | | | | A76 |  | ESI ID Invalid or Not Found | | | | |
|  | | | | A78 |  | Item or Service already Established | | | | |
|  | | | | | | Requested action has already completed. Used by TDSP and ERCOT only | | | |
|  | | | | A79 |  | Item or Service Not Established | | | | |
|  | | | | | | The reference number (BGN06) does not match a previous reference number. | | | |
|  | | | | A83 |  | Invalid or Unauthorized Action | | | | |
|  | | | | | | Information provided was not supported in the Texas SET Standards. | | | |
|  | | | | ACI |  | Action Code (ASI01) Invalid | | | | |
|  | | | | API |  | Required Information Missing | | | | |
|  | | | | | | Explanation Required in REF03. May not be used in place of other, more specific error codes. | | | |
|  | | | | CW1 |  | Cannot Change on the Day of Scheduled Meter Read Date or in the past | | | | |
|  | | | | | | ERCOT and TDSPs will reject any 814\_12 transaction received on the scheduled move in or move out date, as well as date change requests on orders that are scheduled in the past. | | | |
|  | | | | D76 |  | DUNS Number Invalid or Not Found | | | | |
|  | | | | DCI |  | Date Change Request Ineligible | | | | |
|  | | | | DIP |  | Date In Past | | | | |
|  | | | | | | Date Change Request In the Past. | | | |
|  | | | | DIV |  | Date/Time Invalid | | | | |
|  | | | | DNS |  | Date Change Not available on Switch | | | | |
|  | | | | DUP |  | Duplicate | | | | |
|  | | | | | | Transaction submitted contains the same BGN02, BGN06, (if applicable), and ESI-ID as another received transaction from the same CR. For ERCOT use only. | | | |
|  | | | | FME |  | Force Majeure Event | | | | |
|  | | | | ICD |  | Iteration Counter Duplicate | | | | |
|  | | | | | | Iteration counter already received at ERCOT. For ERCOT Use Only. | | | |
|  | | | | ICL |  | Iteration Count Lower | | | | |
|  | | | | MTI |  | Maintenance Type Code (ASI02) Invalid | | | | |
|  | | | | NOR |  | Not Initiator of Enrollment Request | | | | |
|  | | | | | | Used when the CR submitting the Date Change request did not submit the original initiating transaction. For ERCOT use only. | | | |
|  | | | | RNE |  | Request Not Eligible | | | | |
|  | | | | | | Start date requested is earlier than the ESI-ID start date  For ERCOT Use Only | | | |
|  | | | | RSD |  | Received Scheduled Date | | | | |
|  | | | | | | Date change received on same day of the requested date change. | | | |
|  | | | | SCP |  | Scheduling Conflict Priority | | | | |
|  | | | | | | Requested date caused conflict with transaction currently scheduled. Currently scheduled transaction scheduled to execute within 2 business days. | | | |
|  | | | | TCC |  | Competing Transaction Scheduled for the Same Date | | | | |
|  | | | | TDR |  | Two Business Days Notification Required | | | | |
|  | | | |  |  |  | | | | |
|  | | | | | |  | | | |
| **Dep** | **REF03** | **352** | **Description** | | | | **X** |  | **AN 1/80** | | |
|  | | | A free-form description to clarify the related data elements and their content | | | | | | | |
|  | | | Used to further describe the reason code sent in REF02. Codes "A13" and "API" require a text explanation in this element. | | | | | | | |